

## 1. Introduction

- Current formulations of string theory **background dependent**
- Ways out:
  - **IKKT** (string theory)
  - **BFSS** (M-theory in infinite-momentum-frame)
- Nice picture:
  - background geometry + dynamics **emerge**
  - based on symplectic manifolds and Poisson/Lie algebras
- **However**, get only GR restricted to Kähler manifolds
- D-brane actions and M-theory suggests ways out: categorification
- We need:
  - Higher symplectic and Poisson/Lie algebra analogon
  - A generalized IKKT model or corresponding EOMS.
- Also: To test our ideas, we should generalize/deform/categorify

## 2. IKKT model

- Regularization of Green-Schwarz action of superstring in Schild gauge.
- Alternatively: full dimensional reduction of 10d SYM  $\nabla_\mu \rightarrow A_\mu, \int \rightarrow -$ :

$$S = \int d^{10}x \quad \text{tr} \left( F_{\mu\nu} F^{\mu\nu} - \frac{i}{2} \bar{\psi} \Gamma^\mu \nabla_\mu \psi \right)$$

- This yields a 0d matrix model:

$$S_{\text{IKKT}} = \alpha \text{tr} \left( -\frac{1}{4} [A_\mu, A_\nu]^2 - \frac{1}{2} \bar{\psi} \Gamma^\mu [A_\mu, \psi] + \beta \mathbb{1} \right) .$$

- Allow for deformation terms

$$S_{\text{def}} = S_{\text{IKKT}} + \text{tr} \left( -\frac{1}{2} \sum_\mu m_{1,\mu}^2 A_\mu A_\mu + \frac{i}{2} m_2 \bar{\psi} \psi + c_{\mu\nu\kappa} A^\mu [A^\nu, A^\kappa] \right) ,$$

- EOM:

$$-\alpha [A^\mu, [A_\mu, A_\nu]] - m_{1,\mu}^2 A_\nu + 3c_{\nu\kappa\lambda} [A^\kappa, A^\lambda] = 0$$

- Suggested as background indept. definition of type IIB superstring theory

### 3. Quantization of symplectic manifolds

- Classical Phase space:

- Symplectic manifold  $(M, \omega)$
- Associative algebra of observables  $\mathcal{C}^\infty(M)$
- Additional Poisson (Lie) algebra structure:

$$\iota_{X_f}\omega = df, \quad \{f, g\} := \iota_{X_f}\iota_{X_g}\omega.$$

- Quantization:

- Quantum Hilbert space  $\mathcal{H}$
- Associative alg. of observables  $\text{End}(\mathcal{H})$
- Lie algebra structure:  $[A, B]$
- quantization map  $\hat{\cdot} : \mathcal{C}^\infty(M) \rightarrow \text{End}(\mathcal{H})$
- $\hat{f}\hat{g} = \widehat{fg} + \mathcal{O}(\hbar)$
- $[\hat{f}, \hat{g}] = -i\hbar\widehat{\{f, g\}} + \mathcal{O}(\hbar^2)$
- Quantization: Lie algebra homomorphism up to  $\mathcal{O}(\hbar)$

- Examples:
  - $\mathbb{R}^2, \omega = dx^1 \wedge dx^2, \{f, g\} = \varepsilon^{ab}(\partial_a f)(\partial_b g)$   
**Moyal plane:**  $[\hat{x}^1, \hat{x}^2] = -i\hbar\mathbb{1}$
  - $S^2, \omega = \sin\theta d\theta \wedge d\phi, \{f, g\} = \frac{\varepsilon^{ab}}{\sin\theta}(\partial_a f)(\partial_b g)$   
**Fuzzy sphere:**  $S^2 \hookrightarrow \mathbb{R}^3: [\hat{x}^i, \hat{x}^j] = -i\hbar\varepsilon^{ijk}\hat{x}^k$

### 4. Emergence of spacetime and dynamics

- EOMs of IKKT model:

$$-\alpha[A^\mu, [A_\mu, A_\nu]] - m_{1,\mu}^2 A_\nu + 3c_{\nu\kappa\lambda}[A^\kappa, A^\lambda] = 0$$

- Solutions:
  - For  $m_{1,\nu} = c_{\mu\nu\kappa} = 0$ :  
 $[\hat{x}^i, \hat{x}^j] = -i\hbar\mathbb{1}$ : Moyal plane and products thereof
  - For  $m_{1,\nu} = 0, c_{ijk} \sim \varepsilon_{ijk}$ :  
 $[\hat{x}^i, \hat{x}^j] = -i\hbar\varepsilon^{ijk}\hat{x}^k$ , Fuzzy sphere
- Dynamics:
  - Linear perturb. around solution:  $A_\mu \rightarrow X_\mu + a_\mu$ .
  - For IKKT: NC Yang Mills with eom:  $[X^\mu, [X_\mu, a_\mu]] = 0$  etc.

## 5. M-theory “lift”

- There are Schild-type actions of higher dimensional branes  $\Rightarrow L_\infty$ -algebra
- $B$ -field of string theory becomes  $C$ -field of M-theory  
or: 2-forms (symplectic) become 3-forms (2-plectic), lead to  $L_\infty$ -algebra
- often: going to M-theory means to categorify  $\Rightarrow L_\infty$ -algebra
- $L_\infty$ -algebras actually all over the place in string/M-theory:
  - BV-quantization
  - String Field Theory
  - Courant Algebroids in Double Field Theory

## 6. $L_\infty$ -algebras

- From NQ-manifolds (BV-quantization, AKSZ-formalism):
  - $\mathbb{N}^*$ -graded vector space  $V = \bigoplus_{i=1}^{\infty} V_i$
  - Vector field  $Q$  of degree 1 with  $Q^2 = 0$

- Example:  $V = V_1 \oplus V_2$ . Coordinates  $\xi^\alpha, p_a$ . Then

$$Q = m^{a\alpha} p_a \frac{\partial}{\partial \xi^\alpha} + m_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma \frac{\partial}{\partial \xi^\alpha} + m_{a\alpha}^b \xi^\alpha p_b \frac{\partial}{\partial p_a} + m_{a\alpha\beta\gamma} \xi^\alpha \xi^\beta \xi^\gamma \frac{\partial}{\partial p_a}$$

- On dual spaces  $V_i^*$  with coordinates  $\xi_\alpha, p^a$  we have brackets:

$$\begin{aligned} \mu_1(p^a) &= m^{a\alpha} \xi_\alpha, & \mu_2(\xi_\alpha, \xi_\beta) &= m_{\alpha\beta}^\gamma \xi_\gamma, \\ \mu_2(\xi_\alpha, p^a) &= m_{b\alpha}^a p^b, & \mu_3(\xi_\alpha, \xi_\beta, \xi_\gamma) &= m_{a\alpha\beta\gamma} p^a \end{aligned}$$

From  $Q^2 = 0$ : higher homotopy relations, e.g.

$$\begin{aligned} \mu_1(\mu_2(\xi, p)) &= \mu_2(\xi, \mu_1(p)), & \mu_2(\mu_1(p_1), p_2) &= \mu_2(p_1, \mu_1(p_2)), \\ \mu_1(\mu_3(\xi_1, \xi_2, \xi_3)) &= -\mu_2(\mu_2(\xi_1, \xi_2), \xi_3) - \mu_2(\mu_2(\xi_3, \xi_1), \xi_2) - \mu_2(\mu_2(\xi_2, \xi_3), \xi_1), \end{aligned}$$

- Examples:
  - Lie algebra  $\mathfrak{g}$  (Chevalley-Eilenberg)  $V = \mathfrak{g}^*[1]$
  - String Lie 2-algebra  $V = \mathbb{R}[2] \oplus \mathfrak{g}^*[1]$ ,  
 $\mu_1(r) = 0, \mu_2(g_1, g_2) = [g_1, g_2], \mu_3(g_1, g_2, g_3) = \text{tr}(g_1[g_2, g_3])$
- Metric on  $L_\infty$ -algebra from symplectic structure on NQ-manifold
- Extension to algebroids: Add a manifold in degree 0.

## 7. Quantized 2-plectic manifolds

- 2-plectic manifold  $(M, \varpi)$  comes with a Lie 2-algebra:
  - Hamiltonian one-forms:  $\iota_{X_\alpha} \omega = d\alpha$
  - $V^* = \mathcal{C}^\infty(M) \oplus \Omega_{\text{Ham}}^1(M)$
  - $\pi_1 = d$ ,  $\pi_2(\alpha, \beta) = \iota_{X_\alpha} \iota_{X_\beta} \varpi$ ,  $\pi_3(\alpha, \beta, \gamma) = \iota_{X_\alpha} \iota_{X_\beta} \iota_{X_\gamma} \varpi$ .
  - Example: Heisenberg Lie 2-algebra of  $\mathbb{R}^3$ :  
 $\xi_i = \frac{1}{2} \varepsilon_{ijk} x^j dx^k$ ,  $\pi_2(\xi_i, \xi_j) = \varepsilon_{ijk} dx^k$  and  $\pi_3(\xi_i, \xi_j, \xi_k) = -\varepsilon_{ijk}$ .
- Associative product on  $L$  unknown, problems ... loop space etc.
- Quantization: Lie 2-algebra homomorphism up to  $\mathcal{O}(\hbar)$ . Restrict to:

$$\begin{aligned} \mu_1(\hat{X}) &= -i\hbar \widehat{\pi_1(X)} + \mathcal{O}(\hbar), & \mu_2(\hat{X}, \hat{Y}) &= -i\hbar \widehat{\pi_2(X, Y)} + \mathcal{O}(\hbar^2), \\ \mu_3(\hat{X}, \hat{Y}, \hat{Z}) &= -i\hbar \widehat{\pi_3(X, Y, Z)} + \mathcal{O}(\hbar^2). \end{aligned}$$

## 8. Homogeneous Lie 2-algebra models

- Fields  $X^a = p^a + \xi^a$  in metric Lie 2-algebra
$$\begin{aligned} S_\infty &:= \frac{1}{2} m_{ab} (X^a, X^b) + \frac{1}{3} c_{abc} (X^a, \mu_2(X^b, X^c)) + \frac{1}{4} (\mu_2(X^a, X^b), \mu_2(X^a, X^b)) \\ &= \frac{1}{2} m_{ab} (p^a, p^b) + \frac{1}{2} m_{ab} (\xi^a, \xi^b) + \frac{1}{3} c_{abc} (\xi^a, \mu_2(\xi^b, \xi^c)) + \\ &\quad + \frac{1}{4} (\mu_2(\xi^a, \xi^b), \mu_2(\xi^a, \xi^b)) . \end{aligned}$$
- Solutions:
  - $m = c = 0$ : quantized  $\mathbb{R}^3$
  - $m \neq 0$  or  $c \neq 0$ : quantized  $S^3$
- Remarkable:
  - This is exactly how the solutions appear in the IKKT model.
  - For  $V^* = V_1^* = \mathfrak{u}(N)$ , we recover IKKT model.
  - Quantized solutions reduce nicely from 2-plectic to symplectic

## 9. Optional: Inhomogeneous Lie 2-algebra models

- IKKT obtained from SYM reduced to 0d, what about M2-brane models?
- Lie 2-algebra from “3-Lie algebras are special differential crossed modules”
- Allowing fields  $X \in V$  and  $Y \in W$  (and superpartners  $\Psi \in X$ )
$$\begin{aligned} S_{\text{M2}} &= \frac{1}{6} \varepsilon^{ijk} \langle Y^i, \mu_2(Y^j, Y^k) \rangle - \frac{1}{2} \langle \mu_2(Y^i, X^a), \mu_2(Y^i, X^a) \rangle + \frac{1}{2} \langle \bar{\Psi}, \mu_2(\Gamma^i Y^i, \Psi) \rangle \\ &\quad - \frac{1}{4} \langle \bar{\Psi}, \mu_2(\mu_2^*(X^a, X^b), \Gamma_{ab} \Psi) \rangle - \frac{1}{12} \langle \mu_2(\mu_2^*(X^a, X^b), X^c), \mu_2(\mu_2^*(X^a, X^b), X^c) \rangle \end{aligned}$$
- For  $L$  a differential crossed module with  $\mathfrak{t} = 0$ : (generalized) BLG model.

## 10. Optional: Background field expansion

- Higher gauge theory with one- and two-forms  $A, B$ ,

$$\begin{aligned}\mathcal{F} &:= dA + \frac{1}{2}\mu_2(A, A) - \mu_1(B) = 0, \\ \mathcal{H} &:= dB + \mu_2(A, B) + \frac{1}{6}\mu_3(A, A, A).\end{aligned}$$

- Consider BF-theory:

$$S_{\text{BF}} = \int_{\mathbb{R}^3} \langle \lambda_1, \mathcal{F} \rangle + \langle \lambda_0, H \rangle, \quad (10.1)$$

- Dimensionally reduce:  $A \rightarrow Y_i, B \rightarrow X_{ij}$

$$\begin{aligned}S_{0d} &= \varepsilon^{ijk} \langle \lambda_i, \mu_2(Y_j, Y_k) - \frac{1}{2}\mu_1(X_{jk}) \rangle + 2i\hbar \langle \lambda_i, \widehat{dx}^i \rangle \\ &\quad + \varepsilon^{ijk} \langle \lambda, \frac{1}{2}\mu_2(Y_i, X_{jk}) + \frac{1}{6}\mu_3(Y_i, Y_j, Y_k) \rangle - i\hbar \langle \lambda, \mathbb{1} \rangle.\end{aligned}$$

- Solutions: Heisenberg 2-algebra of  $\mathbb{R}^3$ .

Moreover:  $dx^i \wedge \pi_2(\xi_i, \alpha) = d\alpha, \xi_i \rightarrow \partial_i$ .

- Expand around background:  $Y_i = \hat{\xi}_i + \hat{A}_i$  and  $X_{ij} = 0 + \hat{B}_{ij}$ :

$$\begin{aligned}\hat{\mathcal{F}}_{ij} &= \mu_2(\hat{\xi}_i, \hat{A}_j) - \mu_2(\hat{\xi}_j, \hat{A}_i) + \mu_2(\hat{A}_i, \hat{A}_j) - \mu_1(\hat{B}_{ij}), \\ \hat{H}_{ijk} &= \frac{1}{2}\mu_2(\hat{\xi}_{[i}, \hat{B}_{jk]}) + \frac{1}{2}\mu_2(\hat{A}_{[i}, \hat{B}_{jk]}) + \frac{1}{6}\mu_3(\hat{\xi}_i + \hat{A}_i, \hat{\xi}_j + \hat{A}_j, \hat{\xi}_k + \hat{A}_k) - i\hbar \hat{\mathbb{1}}\end{aligned}$$

- This is BF-theory on quantum  $\mathbb{R}^3$ .

## 11. Summary

- Started a study of 0d Lie 2-algebra models
- Structure easier than higher gauge theory
- Recovered essentially everything known classically about the IKKT model
- Models comprise IKKT-model and reductions of M2-brane models
- Quantization via one-forms reasonable
- Also: compatibility with loop space
- Extension to  $L_\infty$ -algebra models
- Functorial assignment of Poisson algebra

### Future directions

- Full geometric quantization of  $S^3$
- Use as gauge Lie algebra in BLG-model