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The Self-Dual String and the (2,0)-Theory from Higher Structures

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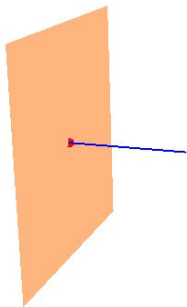
Based on:

- CS & L Schmidt, [arXiv:1705.02353](https://arxiv.org/abs/1705.02353), 17???.?????

Motivation: The Dynamics of Multiple M5-Branes

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To understand M-theory, an effective description of M5-branes would be very useful.

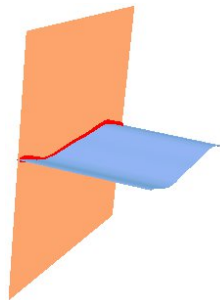


D-branes

- D-branes **interact** via strings.
- Effective description: theory of **endpoints**
- Parallel transport of these: **Gauge theory**
- Study string theory **via gauge theory**

M5-branes

- M5-branes **interact** via M2-branes.
- Eff. description: theory of **self-dual strings**
- Parallel transport: **Higher gauge theory**
- Long sought $(2,0)$ -theory a **HGT?**



What we know about the $(2,0)$ -theory

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Multiple M5-branes are described by a $\mathcal{N} = (2, 0)$ superconformal field theory.

What we know about 6d $\mathcal{N} = (2, 0)$ SCFT:

- String theory considerations: **conformal fixed point in 6d**
Witten, Strominger 1995
- Field content: $\mathcal{N} = (2, 0)$ **supermultiplet** in 6d:
 - a **self-dual 3-form field strength**
 - five (Goldstone) **scalars**
 - **fermionic partners**
- A theory of essentially **tensionless light strings**
- Supergravity **decouples**, so study string dynamics separately
- Observables: **Wilson surfaces**, i.e. parallel transport of strings
- **No Lagrangian description** known
- As important as $\mathcal{N} = 4$ **super Yang-Mills** for string theory
- Huge interest in string theory: **AGT, AdS₇-CFT₆, S-duality, ...**
- Mathematics: **Geom. Langlands, Khovanov Homology, ...**

Parallel transport of particles in representation of gauge group G :

- holonomy functor $\text{hol} : \text{path } \gamma \mapsto \text{hol}(\gamma) \in G$
- $\text{hol}(\gamma) = P \exp(\int_{\gamma} A)$, P : path ordering, trivial for $U(1)$.

Parallel transport of strings with gauge group $U(1)$:

- map $\text{hol} : \text{surface } \sigma \mapsto \text{hol}(\sigma) \in U(1)$
- $\text{hol}(\sigma) = \exp(\int_{\sigma} B)$, B : connective structure on gerbe.

Nonabelian case:

- definition of surface ordering problematic:
Eckmann-Hilton argument, rediscovered by physicists
- Way out: **2-categories, Higher Gauge Theory**

Need (higher) category theory

Some quotes:

- “We will need to use some very simple notions of category theory, an **esoteric subject** noted for its **difficulty** and **irrelevance**.”

G. Moore and N. Seiberg, 1989

- “We’ll only use as much category theory as is necessary.
Famous last words...”

Roman Abramovich

- “Category theory is the subject where you can leave the **definitions as exercises**.”

John Baez

Standard **objection** beyond the previous no-go theorem:

- theory at conformal fixed points \Rightarrow **no dimensionful parameter**
- fixed points are isolated \Rightarrow **no dimensionless parameter**
- **“No parameters \Rightarrow no classical limit \Rightarrow no Lagrangian.”**

Answers:

- Same arguments for **M2-brane** Schwarz, 2004
- There, integer parameters arose from **orbifold** $\mathbb{R}^8/\mathbb{Z}_k$
- **Same should happen for M5-branes**
- Even if no Lagrangian, **BPS-states** may exist classically
 \Rightarrow **“self-dual strings”**
- Even if not, study **quantum features** of related theories.

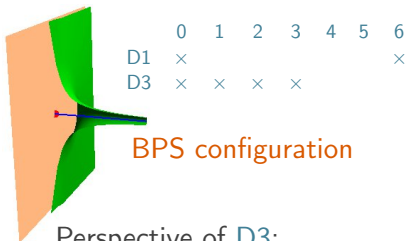
Focus on Self-Dual Strings, BPS states in (2,0)-theory.

Observations:

- Lift of D-brane interpretation of **BPS monopoles** to M-theory
- Involves “**categorified**” of “**higher**” version of gauge theory

Additional reasons for studying self-dual strings:

- Categorified **Integrability**
 - Twistor descriptions developed **CS, Martin Wolf 2012-2016**
 - Categorified Nahm Transform \Rightarrow **Categorified Dirac operator**
- Involves a **higher quantization** of S^3
 - Important for **non-geometric backgrounds** in string theory
- Examples of categorified/**higher principal bundles**
 - Important for **mathematical progress**



BPS configuration

Perspective of D3:

Bogomolny monopole eqn.

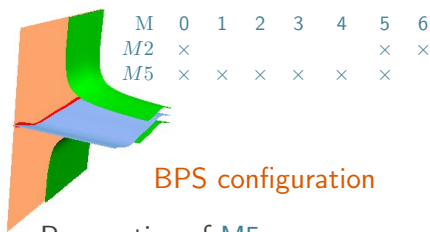
$$F = \nabla^2 = *\nabla\Phi \text{ on } \mathbb{R}^3$$

↕ Nahm transform ↕

Perspective of D1:

Nahm eqn.

$$\frac{d}{dx^6} X^i + \varepsilon^{ijk} [X^j, X^k] = 0$$



BPS configuration

Perspective of M5:

Abelian Self-dual string eqn.

$$H := dB = *d\Phi \text{ on } \mathbb{R}^4$$

↕ genlzd. Nahm transform (?) ↕

Perspective of M2:

Hoppe-Basu-Harvey eqn. (??)

$$\frac{d}{dx^6} X^\mu + \varepsilon^{\mu\nu\rho\sigma} [X^\nu, X^\rho, X^\sigma] = 0$$

Recall:

- Abelian Dirac Monopole: singular on \mathbb{R}^3
- Non-abelian 't Hooft–Polyakov Monopole: non-singular on \mathbb{R}^3
- Abelian Dirac Monopole: can add solutions (non-interacting)
- Abelian Self-Dual String: singular on \mathbb{R}^4
- Abelian Self-Dual String: can add solutions (non-interacting)

Goal: Non-abelian self-dual string with

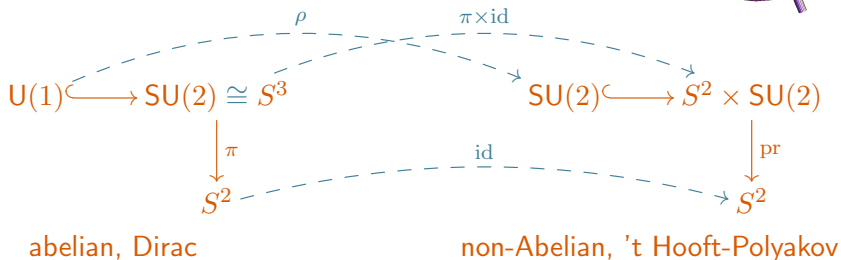
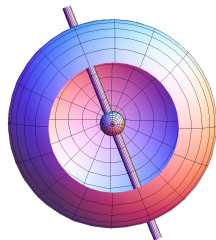
- non-singular solution on \mathbb{R}^4
- interacting solution

Steps:

- Identify gauge structure
- Identify equations of motion
- Find at least elementary (charge 1) solution

Monopoles

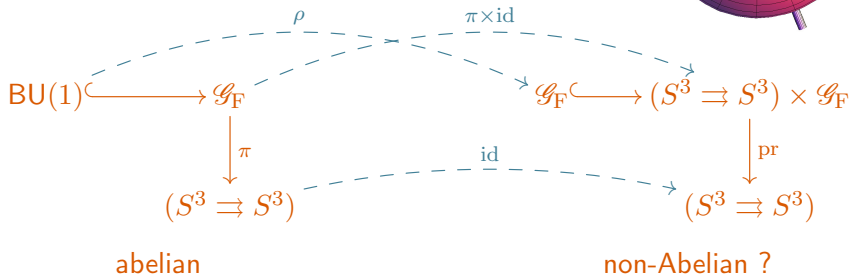
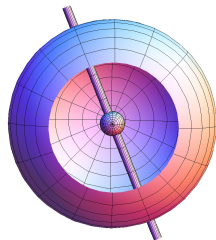
- Solution to **Bogomolny eqn.** $F := \nabla^2 = *\nabla\phi$
- Abelian: singular on \mathbb{R}^3 , **Dirac strings**
- Principal bundle over S^2
- Non-Abelian: non-singular on \mathbb{R}^3



\Rightarrow Choose $SU(2)$, as trivialization possible.

Self-Dual Strings (“higher monopoles”)

- Abelian: singular on \mathbb{R}^4 , Dirac strings
- Solution to $H := dB = *d\phi$
- Gerbe over S^3
- Non-Abelian: ?

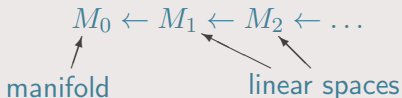


\Rightarrow Choose \mathcal{G}_F , with 2-group structure: **String 2-group**
 (many other reasons for this)

- Monopole/instanton solutions: gauge group from **spin group**
 $\text{Spin}(3) \cong \text{SU}(2)$, $\text{Spin}(4) \cong \text{SU}(2) \times \text{SU}(2)$
- **Higher analogue** of the spin group: **String group**
Stolz, Teichner, Witten, ...
- Def. via **Whitehead tower** (iteratively delete homotopy groups)
 $\dots \rightarrow \text{String}(n) \rightarrow \text{Spin}(n) \rightarrow \text{Spin}(n) \rightarrow \text{SO}(n) \rightarrow \text{O}(n)$
- Definition only **up to homotopy**, as a group: ∞ -dimensional
- 2-group models:
 - ∞ -dimensional strict 2-group BCCS (2005)
 - finite-dimensional quasi 2-group Schommer-Pries (2009)
 - other 2-group models, e.g. **Nikolaus et al.** ...
- Higher gauge theory developed Demessie, CS (2016)
- Many reasons: Gauge 2-group for M5-branes is $\text{String}(E_8)$
Aschieri, Jurco (2004)

N-manifolds, NQ-manifold

- \mathbb{N}_0 -graded manifold with coordinates of degree $0, 1, 2, \dots$



- **NQ-manifold**: vector field Q of degree 1, $Q^2 = 0$
- **Physicists**: think ghost numbers, BRST charge, SFT

Examples:

- **Tangent algebroid** $T[1]M$, $\mathcal{C}^\infty(T[1]M) \cong \Omega^\bullet(M)$, $Q = d$
- **Lie algebra** $\mathfrak{g}[1]$, coordinates ξ^a of degree 1:

$$Q = -\frac{1}{2} f_{ab}^c \xi^a \xi^b \frac{\partial}{\partial \xi^c} \quad , \quad \text{Jacobi identity} \Leftrightarrow Q^2 = 0$$

Lie n -algebroid, a special kind of L_∞ -algebroid:

$$M_0 \leftarrow M_1 \leftarrow M_2 \leftarrow \dots \leftarrow M_n \leftarrow * \leftarrow * \leftarrow \dots$$

Lie n -algebra or n -term L_∞ -algebra:

$$* \leftarrow M_1 \leftarrow M_2 \leftarrow \dots \leftarrow M_n \leftarrow * \leftarrow * \leftarrow \dots$$

Important example: Lie 2-algebra

- Graded vector space: $W[1] \leftarrow V[2]$
- Coordinates: w^a of degree 1 on $W[1]$, v^i of degree 2 on $V[2]$
- Most general vector field Q of degree 1:

$$Q = -m_i^a v^i \frac{\partial}{\partial w^a} - \frac{1}{2} m_{ab}^c w^a w^b \frac{\partial}{\partial w^c} - m_{ai}^j w^a v^i \frac{\partial}{\partial v^j} - \frac{1}{3!} m_{abc}^i w^a w^b w^c \frac{\partial}{\partial v^i}$$

- Induces “brackets”/“higher products”:

$$\mu_1(\tau_i) = m_i^a \tau_a, \quad \mu_2(\tau_a, \tau_b) = m_{ab}^c \tau_c, \quad \dots, \quad \mu_3(\tau_a, \tau_b, \tau_c) = m_{abc}^i \tau_i$$

- $Q^2 = 0 \Leftrightarrow$ Homotopy Jacobi identities, e.g. $\mu_1(\mu_1(-)) = 0$
- Failure of Jacobi identity: $\mu_2(x, \mu_2(y, z)) + \dots = \mu_1(\mu_3(x, y, z))$

- Recall: \mathcal{G}_F can be extended to String 2-group model
- Lie differentiate (e.g. Demessie, CS (2016))
- Result: String Lie 2-algebra $\mathbf{string}(3) = \mathbb{R}[1] \rightarrow \mathfrak{su}(2)$ with

$$Q\xi^\alpha = -\frac{1}{2}f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma, \quad Qb = -\frac{1}{3!}f_{\alpha\beta\gamma} \xi^\alpha \xi^\beta \xi^\gamma.$$

or

$$\mu_2(x_1, x_2) = [x_1, x_2], \quad \mu_3(x_1, x_2, x_3) = (x_1, [x_2, x_3])$$

where $x_{1,2,3} \in \mathfrak{su}(2)$.

- Ideas: Atiyah, Strobl et al., Sati, Schreiber, Stasheff
- Recall: Chevalley-Eilenberg algebra of Lie algebra \mathfrak{g} :

$$\mathrm{CE}(\mathfrak{g}) = \mathcal{C}^\infty(\mathfrak{g}[1]) , \quad Q\xi^\alpha = -\frac{1}{2}f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma$$

- Double to Weil algebra

$$W(\mathfrak{g}) := \mathcal{C}^\infty(T[1]\mathfrak{g}[1]) , \quad Q = Q_{\mathrm{CE}} + \sigma , \quad \sigma Q_{\mathrm{CE}} = -Q_{\mathrm{CE}}\sigma$$

- Potentials/curvatures/Bianchi identities from dga-morphisms

$$(A, F) : W(\mathfrak{g}) \rightarrow \Omega^\bullet(M) = W(M)$$

$$\xi^\alpha \mapsto A^\alpha$$

$$(\sigma\xi^\alpha) = Q\xi^\alpha + \frac{1}{2}f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma \mapsto F^\alpha = (dA + \frac{1}{2}[A, A])^\alpha$$

$$Q(\sigma\xi^\alpha) = -f_{\beta\gamma}^\alpha (\sigma\xi^\alpha) \xi^\beta \mapsto (\nabla F)^\alpha = 0$$

- Gauge transformations: homotopies between dga-morphisms
- Topological invariants: invariant polynomials in $W(\mathfrak{g})$

- Recall: **Chevalley-Eilenberg algebra** of String Lie 2-algebra \mathfrak{g} :

$$\mathrm{CE}(\mathfrak{g}) = \mathcal{C}^\infty(\mathbb{R}[2] \rightarrow \mathfrak{su}(2)[1]) ,$$

$$Q\xi^\alpha = -\frac{1}{2}f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma \quad \text{and} \quad Qb = \frac{1}{3!}f_{\alpha\beta\gamma} \xi^\alpha \xi^\beta \xi^\gamma .$$

- Double to **Weil algebra**

$$W(\mathfrak{g}) := \mathcal{C}^\infty(T[1]\mathfrak{g}[1]) , \quad Q = Q_{\mathrm{CE}} + \sigma , \quad \sigma Q_{\mathrm{CE}} = -Q_{\mathrm{CE}}\sigma$$

- Potentials/curvatures/Bianchi identities** from **dga-morphisms**

$$(A, B, F, H) : W(\mathfrak{g}) \rightarrow \Omega^\bullet(M) = W(M)$$

$$\xi^\alpha \mapsto A^\alpha \in \Omega^1(M) \quad \text{and} \quad b \mapsto B \in \Omega^2(M)$$

$$(\sigma\xi^\alpha) = Q\xi^\alpha + \frac{1}{2}f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma \mapsto F^\alpha = (dA + \frac{1}{2}[A, A])^\alpha$$

$$(\sigma b) = Qb - \frac{1}{3!}f_{\alpha\beta\gamma} \xi^\alpha \xi^\beta \xi^\gamma \mapsto H = dB - \frac{1}{3!}(A, [A, A])$$

- Bianchi identities:** $\nabla F = 0$ and $dH = -\frac{1}{2}(dA, [A, A])$
- Gauge trafos** and **Top. invariants** derived as above

Higher Gauge potential for $\mathfrak{string}(3)$: CS, Schmidt (2017)

$$A \in \Omega^1(\mathbb{R}^4) \otimes \mathfrak{su}(2), \quad B \in \Omega^2(\mathbb{R}^4) \otimes \mathbb{R},$$

Add Higgs field:

$$\phi \in \Omega^0(\mathbb{R}^4) \otimes \mathbb{R}$$

Equations of motion:

- Straightforward discussion from dga-morphisms yields:

$$H = dB - \frac{1}{3!}(A, [A, A]) = *\nabla\phi, \quad F = dA + \frac{1}{2}[A, A] = 0.$$

These equations, however, suffer from many problems.

Solution:

- 10d heterotic SUGRA: coupling A requires modification of H
Bergshoeff et al. (1982), Chaplin & Manton (1983)
- Also: Anomaly cancellation condition $dH = (F, F) = \frac{1}{2}p_1$
- Mathematically: (Twisted) String Structure
Sati, Schreiber, Stasheff (2009)

Field Content with values in $\mathfrak{string}(3)$:

$$A \in \Omega^1(\mathbb{R}^4) \otimes \mathfrak{su}(2), \quad B \in \Omega^2(\mathbb{R}^4) \otimes \mathbb{R}, \quad \phi \in \Omega^0(\mathbb{R}^4) \otimes \mathbb{R}$$

Use categorical equivalences:

- $SU(N)$ -bundle: $U(N)$ -bundle + trivialization of $U(1)$ -factor
- $\mathfrak{String}(3)$ -bundle as $\mathfrak{Spin}(3)$ -bundle + $\mathfrak{BBU}(1)$ -factor
Sati, Schreiber, Stasheff (2009)

- Upshot: add (A, F) to H , which yields:

$$H = dB + \frac{1}{2}(A, dA) + \frac{1}{3!}(A, [A, A]) = *d\phi \Rightarrow dH = (F, F) = *\square\phi$$

- ϕ should “know” all about configuration, thus demand also

$$F = dA + \frac{1}{2}[A, A] = *F$$

- Check 1: **Nice reduction** of 2nd equation to monopoles on \mathbb{R}^3
- First equation requires little more work, **also reduces perfectly**
- Check 2: **BPS equations** for (1,0)-model (more later)

Bogomolny's trick familiar from instanton/monopoles works, too:

$$S = \int_{\mathbb{R}^4} H \wedge *H + d\varphi \wedge *d\varphi + (F, *F)$$

Rewrite:

$$S = \int_{\mathbb{R}^4} (H - *d\varphi) \wedge *(H - *d\varphi) + 2H \wedge d\varphi + \frac{1}{2}((F - *F), *(F - *F)) + (F, F)$$

Minimum/Bogomolny bound:

$$H = *d\varphi, \quad F = *F$$

Topological invariants from minimum of action:

$$S_{\min} = 2 \int_{\mathbb{R}^4} H \wedge d\varphi + \int_{\mathbb{R}^4} (F, F) = 2 \int_{\mathbb{R}^4} H \wedge d\varphi + \int_{S^3_\infty} H$$

Equations of motion:

$$F = dA + \frac{1}{2}[A, A] = *F \quad \text{and} \quad H = dB + CS(A) = *d\phi$$

Solution:

$$A_\mu(x) = \frac{1}{i} \frac{\eta_{\mu\nu}^i \sigma_i (x - x_0)^\nu}{\rho^2 + (x - x_0)^2}, \quad B = 0, \quad \varphi = \frac{((x - x_0)^2 - 2\rho^2)}{((x - x_0)^2 + \rho^2)^2}$$

cf. also [Akyol, Papadopoulos 2012](#)

Consistency checks:

- Globally **non-singular** on \mathbb{R}^4
- **Approaches abelian solution** $\frac{1}{x^2}$ as $x \rightarrow \infty$
- Moduli: same as instanton:
 - **Position**
 - **Size** (conformal symmetry of $F = *F$)
 - Residual **global SU(2) gauge symmetry**

Alternative, but **equivalent form of String Lie 2-algebra**:

$$\text{string}_{\hat{\Omega}} = \left(\Omega\mathfrak{su}(2) \oplus \mathbb{R} \rightarrow P_0\mathfrak{su}(2) \right)$$

- $\Omega\mathfrak{su}(2)$ and $P_0\mathfrak{su}(2)$: based loop and path spaces
- **Quasi-Isomorphism** between both models
- Should be reflected in equivalence of **physics**

We find:

- **Fields**: $A \in \Omega^1(\mathbb{R}^4) \otimes P_0\mathfrak{su}(2)$, $B \in \Omega^2(\mathbb{R}^4) \otimes (\Omega\mathfrak{su}(2) \oplus \mathbb{R})$
and Higgs field $\varphi \in \Omega^0(\mathbb{R}^4) \otimes (\Omega\mathfrak{su}(2) \oplus \mathbb{R})$
- **Equations of motion**, modified for twisted string structures:

$$\mathcal{F} := dA + \frac{1}{2}\mu_2(A, A) + \mu_1(B)$$

$$H := dB + \mu_2(A, B) - \kappa(A, \mathcal{F}) = *\nabla\varphi$$

- Explicit 1:1-map between **gauge equivalence classes**
- \Rightarrow **Physics respects categorical equivalence**

BPS states work, what about classical $(2,0)$ -theory?

Recall:

- **6d $(1,0)$ -model** derived from tensor hierarchies
Samtleben, Sezgin, Wimmer (2011)
- Issue 1: Choice of gauge structure **unclear**
- Issue 2: **cubic interactions**
- Issue 3: scalar fields with **wrong sign kinetic term**
- Issue 4: Self-duality of 3-form **imposed by hand**

Previous observation:

- Gauge structure has underlying **Lie 3-algebra** + extra struct.
Palmer, CS (2013), Samtleben et al. (2014)

New:

Schmidt, CS (2017)

- **Idea:** use $\mathfrak{string}(3)$ as gauge structure in this model
- Issue: need suitable notion of **inner product** for action
- **Appropriate inner products** on L_∞ -algebras: **symplectic forms**
- Consequence: Double twisted $\mathfrak{string}(3)$

$$\mathbb{R} \rightarrow \mathbb{R} \rightarrow \mathfrak{su}(2)$$

to something symplectic:

$$\mathbb{R} \oplus \mathfrak{su}(2)^* \rightarrow \mathbb{R} \oplus \mathbb{R}^* \rightarrow \mathfrak{su}(2) \oplus \mathbb{R}^*$$

- This carries **natural inner product**
- Can be extended to **Lie 3-algebra**
- Has necessary **extra structure**

Field content:

- **(1,0) tensor multiplet** (ϕ, χ^i, B) , values in \mathbb{R}^2 , $\phi = \phi_s + \phi_r, \dots$
- **(1,0) vector multiplet** (A, λ^i, Y^{ij}) , values in $\mathfrak{su}(2) \oplus \mathbb{R}$
- **C-field**, values in $\mathbb{R} \oplus \mathfrak{su}(2)^*$

Action (schematically):

$$S = \int_{\mathbb{R}^{1,5}} \left(\mathcal{H} \wedge * \mathcal{H} + d\phi \wedge * d\phi - * \langle \bar{\chi}, \not{\partial} \chi \rangle + \mathcal{H}_s \wedge * \langle \bar{\lambda}, \gamma_{(3)} \lambda \rangle + * \langle Y, \bar{\lambda} \rangle \chi_s \right. \\ \left. + \phi_s ((\mathcal{F}, * \mathcal{F}) - *(Y, Y) + *(\bar{\lambda}, \nabla \lambda)) + \langle \bar{\lambda}, \mathcal{F} \rangle \wedge * \gamma_{(2)} \chi_s \right. \\ \left. + \mu_1(C) \wedge \mathcal{H}_s + B_s \wedge (\mathcal{F}, \mathcal{F}) + B_s \wedge ([A, A], [A, A]) \right)$$

This solves problems 1 and 2:

- **Choice of gauge structure** for ADE-(2,0)-theories **clear**.
- **No cubic interaction term** for scalar fields

Crucial consistency check: **Reduction to D-branes/SYM theory**

$$S = \int_{\mathbb{R}^{1,5}} \left(\mathcal{H} \wedge * \mathcal{H} + d\phi \wedge * d\phi - * \langle \bar{\chi}, \not{\partial} \chi \rangle + \mathcal{H}_s \wedge * \langle \bar{\lambda}, \gamma_{(3)} \lambda \rangle + * \langle Y, \bar{\lambda} \rangle \chi_s \right. \\ \left. + \phi_s ((\mathcal{F}, * \mathcal{F}) - *(Y, Y) + *(\bar{\lambda}, \nabla \lambda)) + \langle \bar{\lambda}, \mathcal{F} \rangle \wedge * \gamma_{(2)} \chi_s \right. \\ \left. + \mu_1(C) \wedge \mathcal{H}_s + B_s \wedge (\mathcal{F}, \mathcal{F}) + B_s \wedge ([A, A], [A, A]) \right)$$

- Compactify along x^{10} , x^9 interpret as $\langle \phi_s \rangle = \frac{1}{\pi^2 R_{10} R_9} = \frac{1}{\pi^2 R_{10}^2}$
- **Strong coupling expansion** around $\langle \phi_s \rangle$ (cf. M2 \rightarrow D2)
- Coupling constants:

$$\int_{T^2} \text{dvol}(T^2) \frac{1}{16\pi^2 R_{10}^2} = \frac{4\pi^2 R^9 R^{10}}{16\pi^2 R_{10}^2} = \frac{1}{4} \frac{R_9}{R_{10}} = \frac{1}{4g_s} = \frac{1}{4g_{\text{YM}}^2}$$

- This yields **4d $\mathcal{N} = 2$ SYM** with gauge group **SU(2)**!

Summary:

- Categorized structures appear naturally in M-theory
- Higher analogue of $SU(2)$ is $String(3)$
- There is a non-abelian self-dual string
- Better understanding of $(1,0)$ -theory
- Dimensional reduction to $\mathcal{N} = 4$ SYM works!

Soon to come:

- ▷ Interpretation of fuzzy S^3
- ▷ Categorized Integrability
- ▷ Access new superconformal field theories
- ▷ M5-brane models?

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